# Ensembl Gene Annotation (e!96)

# **Birds Clade**

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This document describes the annotation process of an assembly. The first stage is Assembly Loading where databases are prepared and the assembly loaded into the database.

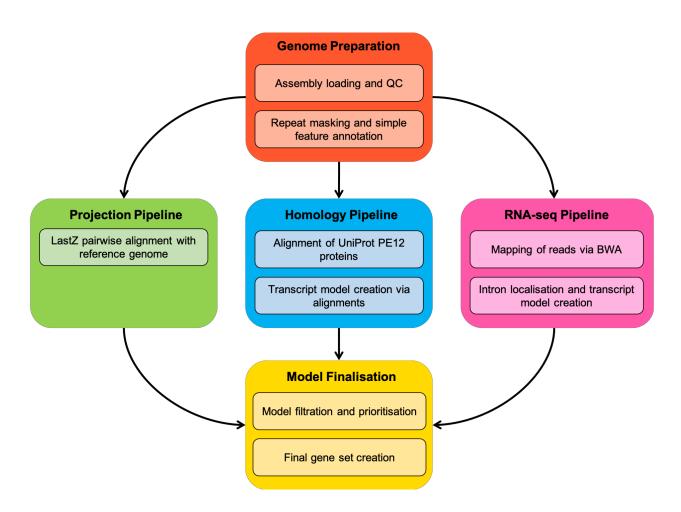


Fig. 1: Flowchart of the protein-coding annotation pipeline. Small ncRNAs, Ig genes, TR genes, and pseudogenes are computed using separate pipelines.

## Section 1: Genome Preparation

The genome phase of the Ensembl gene annotation pipeline involves loading an assembly into the Ensembl core database schema and then running a series of analyses on the loaded assembly to identify an initial set of genomic features.

The most important aspect of this phase is identifying repeat features (primarily through RepeatMasker) as soft masking of the genome is used extensively later in the annotation process.

### Repeat Finding

After the genomic sequence has been loaded into a database, it is screened for sequence patterns including repeats using RepeatMasker [1] (version 4.0.5 with parameters, *-nolow -engine "crossmatch"*), Dust [2] and TRF [3].

For the bird clade annotation, the Repbase birds library was used with RepeatMasker. In addition to the Repbase library, where available, a custom repeat library was used with RepeatMasker. This custom library was created using RepeatModeler [1].

### Low complexity features, ab initio predictions and BLAST analyses

Transcription start sites are predicted using Eponine—scan [4]. CpG islands longer than 400 bases and tRNAs are also predicted. The results of Eponine-scan, CpG, and tRNAscan [5] are for display purposes only; they are not used in the gene annotation process.

Genscan [6] is run across repeat-masked sequence to identify ab initio gene predictions. The results of the Genscan analyses are also used as input for UniProt [7], UniGene [8] and Vertebrate RNA alignments by NCBI-BLAST [9]. Passing only Genscan results to BLAST is an effective way of reducing the search space and therefore the computational resources required.

Genscan predictions are for display purposes only and are not used in the model generation phase.

## Section 2: Protein-Coding Model Generation

Various sources of transcript and protein data are investigated and used to generate gene models using a variety of techniques. The data and techniques employed to generate models are outlined here. The numbers of gene and transcript models generated are described in gene and transcript set summary.

### Species specific cDNA and protein alignments

cDNAs are downloaded from ENA (www.ebi.ac.uk/ena) and RefSeq [10], and aligned to the genome using Exonerate [11]. Only known mRNAs are used (NMs). The cDNAs can be used to add UTR to the protein coding transcript models if they have a matching set of introns. Proteins are downloaded from UniProt and filtered based on protein existence (PE) at protein level and transcript level. The proteins are aligned to the genome using PMATCH to reduce the search space, then with genewise, which is a splice-aware aligner, to generate spliced models.

### Projection mapping pipeline

For all species we generated a whole genome alignment against a suitable reference assembly using LastZ [12]. Syntenic regions identified using this alignment are then used to map protein-coding annotation from the most recent closely-related species or the Ensembl/GENCODE [13] gene set.

For the bird clade annotation, we used the human assembly GRCh38.p12 as a reference to map protein-coding annotation. For each protein-coding gene in human, we projected the coding exons within the canonical transcript to the other birds. In case of exonic overlap on the projected sequence, the longest exon took precedence. If the mapping did not succeed, we selected the next successful projection of the transcript having the longest translation.

Protein-to-genome pipeline

Protein sequences are downloaded from UniProt and aligned to the genome in a splice aware

manner using GenBlast [12]. The set of proteins aligned to the genome is a subset of UniProt

proteins used to provide a broad, targeted coverage of the bird proteome. The set consists of the

following:

Self SwissProt/TrEMBL PE 1 & 2

Aves SwissProt/TrEMBL PE 1 & 2

Human SwissProt/TrEMBL PE 1 & 2

Other mammals SwissProt/TrEMBL PE 1 & 2

Reptiles SwissProt/TrEMBL PE 1 & 2

Note: PE level = protein existence level

For the bird clade annotation, a cut-off of 50 percent coverage and 30 percent identity and an

e-value of e-1 were used for GenBlast with the exon repair option turned on. The top 10

transcript models built by GenBlast for each protein passing the cut-offs are kept.

RNA-seq pipeline

Where available, RNA-seq data is downloaded from ENA (https://www.ebi.ac.uk/ena/) and used

in the annotation. A merged file containing reads from all tissues/samples is created. The merged

data is less likely to suffer from model fragmentation due to read depth. The available reads are

aligned to the genome using BWA [13], with a tolerance of 50 percent mismatch to allow for

intron identification via split read alignment. Initial models generated from the BWA alignments

are further refined via exonerate. Protein-coding models are identified via a BLAST alignment of

the longest ORF against the UniProt vertebrate PE 1 & 2 data set.

In the case where multiple tissues/samples are available we create a gene track for each such

tissue/sample that can be viewed in the Ensembl browser and queried via the API.

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### Immunoglobulin and T-cell Receptor genes

Translations of different human IG gene segments are downloaded from the IMGT database [14] and aligned to the genome using GenBlast.

For the bird clade annotation, a cut-off of 80 percent coverage, 70 percent identity and an evalue of e-1 were used for GenBlast with the exon repair option turned on. The top 10 transcript models built by GenBlast for each protein passing the cut-offs are kept.

### Selenocysteine proteins

We aligned known selenocysteine proteins against the genome using Exonerate. Then we checked that the generated model had a selenocysteine in the same positions as the known protein. We only kept models with at least 90% coverage and 95% identity.

# Section 3: Filtering the Protein-Coding Models

The filtering phase decides the subset of protein-coding transcript models, generated from the model-building pipelines, that comprise the final protein-coding gene set. Models are filtered based on information such as what pipeline was used to generate them, how closely related the data are to the target species and how good the alignment coverage and percent identity to the original data are.

### Prioritising models at each locus

The LayerAnnotation module is used to define a hierarchy of input data sets, from most preferred to least preferred. The output of this pipeline includes all transcript models from the highest ranked input set. Models from lower ranked input sets are included only if their exons do not overlap a model from an input set higher in the hierarchy.

Note that models cannot exist in more than one layer. For UniProt proteins, models are also separate into clades, to help selection during the layering process. Each UniProt protein is in one clade only, for example mammal proteins are present in the mammal clade and are not present in the vertebrate clade to avoid aligning the proteins multiple times.

When selecting the model or models kept at each position, we prioritise based on the highest layer with available evidence. In general, the highest layers contain the set of evidence containing the most trustworthy evidence in terms of both alignment/mapping quality, and also in terms of relevance to the species being annotated. So, for example, when a bird is being annotated, well aligned evidence from either the species itself or other closely related vertebrates would be chosen over evidence from more distant species. Regardless of what species is being annotated, well-aligned human proteins are usually included in the top layer as human is the current most complete vertebrate annotation. For further details on the exact layering used please refer to section 6.

### Addition of UTR to coding models

The set of coding models is extended into the untranslated regions (UTRs) using RNA-seq data (if

available) and alignments of species-specific RefSeq cDNA sequences. The criteria for adding UTR from cDNA or RNA-seq alignments to protein models lacking UTR (such as the projection models or the protein-to-genome alignment models) is that the intron coordinates from the model missing UTR exactly match a subset of the coordinates from the UTR donor model.

### Generating multi-transcript genes

The above steps generate a large set of potential transcript models, many of which overlap one another. Redundant transcript models are collapsed and the remaining unique set of transcript models are clustered into multi-transcript genes where each transcript in a gene has at least one coding exon that overlaps a coding exon from another transcript within the same gene.

### **Pseudogenes**

Pseudogenes are annotated by looking for genes with evidence of frame-shifting or lying in repeat heavy regions. Single exon retrotransposed pseudogenes are identified by searching for a multi-exon equivalent elsewhere in the genome. A total number of genes that are labelled as pseudogenes or processed pseudogenes will be included in the core db, please check Final Gene set Summary.

# Section 4: Creating the Final Gene Set

### Small ncRNAs

Small structured non-coding genes are added using annotations taken from RFAM [15] and miRBase [16]. NCBI-BLAST was run for these sequences and models built using the Infernal software suite [17].

### **Cross-referencing**

Before public release the transcripts and translations are given external references (cross-references to external databases). Translations are searched for signatures of interest and labelled where appropriate.

### Stable Identifiers

Stable identifiers are assigned to each gene, transcript, exon and translation. When annotating a species for the first time, these identifiers are auto-generated. In all subsequent annotations for a species, the stable identifiers are propagated based on comparison of the new gene set to the previous gene set.

# Section 5: Final Gene and Transcript Set Summary

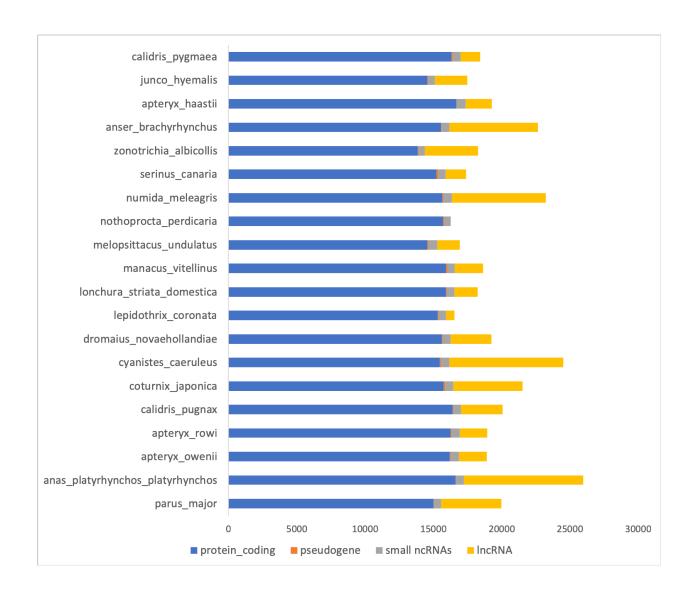


Figure 2: Counts of the major gene classes in each species

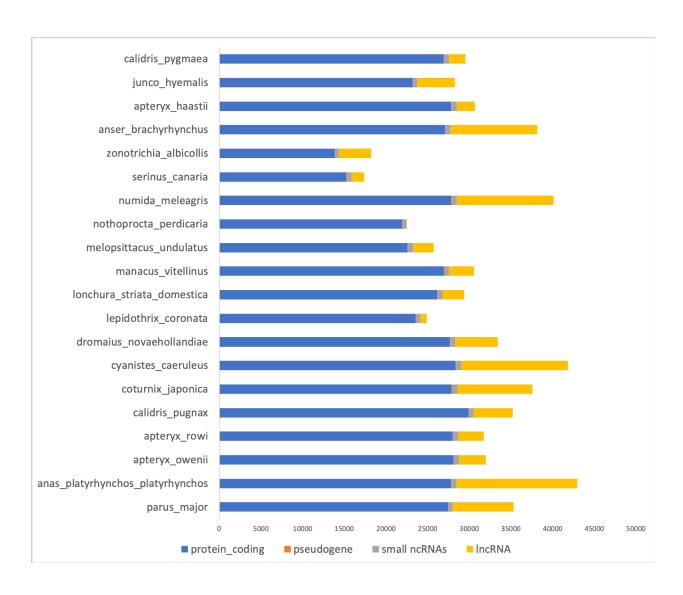


Figure 3: Counts of the major transcript classes in each species

# Section 6: Appendix - Further information

The Ensembl gene set is generated automatically, meaning that gene models are annotated using the Ensembl gene annotation pipeline. The main focus of this pipeline is to generate a conservative set of protein-coding gene models, although non-coding genes and pseudogenes may also be annotated.

Every gene model produced by the Ensembl gene annotation pipeline is supported by biological sequence evidence (see the "Supporting evidence" link on the left-hand menu of a Gene page or Transcript page); ab initio models are not included in our gene set. Ab initio predictions and the full set of cDNA and EST alignments to the genome are available on our website.

The quality of a gene set is dependent on the quality of the genome assembly. Genome assembly can be assessed in a number of ways, including:

### 1. Coverage estimates

- A higher coverage usually indicates a more complete assembly.
- Using Sanger sequencing only, a coverage of at least 2x is preferred.

### 2. N50 of contigs and scaffolds

- A longer N50 usually indicates a more complete genome assembly.
- Bearing in mind that an average human gene may be 10-15 kb in length, contigs shorter than this length will be unlikely to hold full-length gene models.

### 3. Number of contigs and scaffolds

- A lower number top level sequences usually indicates a more complete genome assembly.
- 4. Alignment of cDNAs and ESTs to the genome
  - A higher number of alignments, using stringent thresholds, usually indicates a more complete genome assembly.

# **Assembly Information**

	_			Date
Species	Common name	Assembly	Genbank accession	released
anas platyrhynchos platyrhynchos	Common mallard	CAU_duck1.0	GCA_002743455.1	2017-03
anser brachyrhynchus	Pink-footed goose	ASM259213v1	GCA_002592135.1	2017-10
apteryx haastii	Great spotted kiwi	aptHaa1	GCA_003342985.1	2018-07
apteryx owenii	Little spotted kiwi	aptOwe1	GCA_003342965.1	2018-07
apteryx rowi	Okarito brown kiwi	aptRow1	GCA_003343035.1	2018-07
calidris pygmaea	Spoon-billed sandpiper	ASM369795v1	GCA_003697955.1	2018-10
calidris pugnax	Ruff	ASM143184v1	GCA_001431845.1	2015-11
coturnix jalonica	Japanese quail	Coturnix_japonica_2.0	GCA_001577835.1	2016-03
cyanistes caeruleus	Blue tit	cyaCae2	GCA_002901205.1	2018-01
dromaius novaehollandiae	Emu	droNov1	GCA_003342905.1	2018-07
junco hyemalis	Dark-eyed junco	ASM382977v1	GCA_003829775.1	2018-11
lepidothrix coronata	Blue-crowned manakin	Lepidothrix_coronata-1.0	GCA_001604755.1	2016-03
lonchura striata domestica	Bengalese finch	LonStrDom1	GCA_002197715.1	2017-06
manacus vitellinus	Golden-collared manakin	ASM171598v2	GCA_001715985.2	2018-05
melopsittacus undulatus	Budgie	Melopsittacus_undulatus_6.3	GCA_000238935.1	2012-02
nothoprocta perdicaria	Birds	notPer1	GCA_003342845.1	2018-07
numida meleagris	Helmeted guineafowl	NumMel1.0	GCA_002078875.2	2017-06
parus major	Great Tit	Parus_major1.1	GCA_001522545.2	2016-06
serinus canaria	Common canary	SCA1	GCA_000534875.1	2014-01
zonotrichia albicollis	White-throated sparrow	Zonotrichia_albicollis-1.0.1	GCA_000385455.1	2013-04

Table 1: Assembly information

### Statistics of Interest

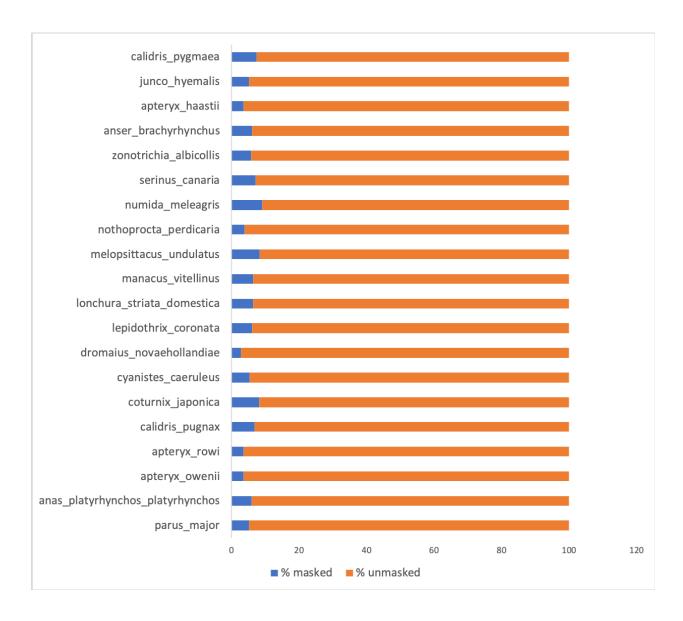


Figure 4: Number of bases repeat masked (blue) and unmasked (orange) per species using the "repbase\_birds" repeat library.

### Layers in detail

### Layer 1

'IG\_C\_gene','IG\_J\_gene','IG\_V\_gene','IG\_D\_gene','TR\_C\_gene','TR\_J\_gene','TR\_V\_gene','TR\_D\_gene','seleno self'

### Layer 2

'cdna2genome','edited','gw\_gtag','gw\_nogtag','gw\_exo','rnaseq\_merged\_1','rnaseq\_merged\_2','rnaseq\_merged\_3','rnaseq\_merged\_4,'rnaseq\_tissue\_1','rnaseq\_tissue\_2','rnaseq\_tissue\_3',
'rnaseq\_tissue\_4','self\_pe12\_sp\_1','self\_pe12\_tr\_1','self\_pe12\_sp\_2','self\_pe12\_tr\_2','projection\_1','projection\_2','projection\_3'

### Layer 3

'projection\_1','projection\_2','rnaseq\_merged\_5','rnaseq\_tissue\_5','aves\_pe12\_sp\_1','aves\_pe1
2\_tr\_1','aves\_pe12\_tr\_2','aves\_pe12\_sp\_2','genblast\_rnaseq\_top'

### Layer 4

'human\_pe12\_sp\_1','human\_pe12\_tr\_1','human\_pe12\_tr\_2','human\_pe12\_sp\_2','aves\_pe12\_sp\_3','aves\_pe12\_tr\_3','aves\_pe12\_sp\_4','aves\_pe12\_tr\_4','reptiles\_pe12\_sp\_1','reptiles\_pe12\_tr\_1','reptiles\_pe12\_tr\_2','reptiles\_pe12\_sp\_2','genblast\_rnaseq\_high'

#### Layer 5

'projection\_3','projection\_4','mammals\_pe12\_sp\_1','mammals\_pe12\_tr\_1','mammals\_pe12\_sp\_2','mammals\_pe12\_tr\_2','reptiles\_sp\_3','reptiles\_tr\_3','reptiles\_sp\_4','reptiles\_tr\_4','self\_pe3\_sp\_1','self\_pe3\_tr\_1','genblast\_rnaseq\_medium'

### Layer 6

'human\_pe12\_sp\_3','human\_pe12\_tr\_3','human\_pe12\_sp\_4','human\_pe12\_tr\_4'

### Layer 7

 $'mammals\_pe12\_sp\_3', 'mammals\_pe12\_tr\_3', 'mammals\_pe12\_sp\_4', 'mammals\_pe12\_tr\_4', 'how the standard of the$ 

#### Layer 8

'human\_pe12\_sp\_6','human\_pe12\_tr\_6','mammals\_pe12\_sp\_5','mammals\_pe12\_tr\_5','aves\_p e12\_sp\_5','aves\_pe12\_tr\_5','reptiles\_sp\_5','reptiles\_tr\_5','rnaseq\_merged\_6','rnaseq\_tissue\_6' ,'human\_pe12\_sp\_int\_1','human\_pe12\_tr\_int\_1','human\_pe12\_sp\_int\_2','human\_pe12\_tr\_int\_2','human\_pe12\_sp\_int\_3','human\_pe12\_tr\_int\_3','human\_pe12\_sp\_int\_4','human\_pe12\_tr\_int\_4','aves\_pe12\_sp\_int\_1','aves\_pe12\_tr\_int\_1','aves\_pe12\_sp\_int\_2','aves\_pe12\_tr\_int\_2',' aves\_pe12\_sp\_int\_3','aves\_pe12\_tr\_int\_3','aves\_pe12\_sp\_int\_4','aves\_pe12\_tr\_int\_4','reptiles\_pe12\_sp\_int\_1','reptiles\_pe12\_tr\_int\_1','reptiles\_pe12\_sp\_int\_2','reptiles\_pe12\_tr\_int\_2','reptiles\_pe12\_sp\_int\_3','reptiles\_pe12\_tr\_int\_3','reptiles\_pe12\_sp\_int\_4','reptiles\_pe12\_tr\_int\_4' ,'mammals\_pe12\_sp\_int\_1','mammals\_pe12\_tr\_int\_1','mammals\_pe12\_sp\_int\_2','mammals\_pe12\_sp\_int\_4' ,'mammals\_pe12\_tr\_int\_4'

Layer 9

'rnaseq\_merged\_7,'rnaseq\_tissue\_7'

Layer 10

'rnaseq\_merged','rnaseq\_tissue'

# More information

More information on the Ensembl automatic gene annotation process can be found at:

Publication

Aken B et al.: The Ensembl gene annotation system. Database 2016.

• Web

Link to Ensembl gene annotation documentation

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